# SINGULAR HOMOLOGY ON AN UNTRIANGULATED MANIFOLD

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# 1. Objectives

This paper is concerned with singular homology over Z on a compact, connected differentiable manifold  $M_n$  of class  $C^{\infty}$ . We suppose that there is given on  $M_n$  a polar nondegenerate function f of class  $C^{\infty}$ , and that n > 1.

This paper continues a program with two objectives. The first objective is to relate the existence and characteristics of critical points of f to invariants (the Betti numbers and torsion coefficients of the different dimensions) of the singular homology groups of  $M_n$  sufficient to determine these homology group up to an isomorphism. The second objective is to accomplish this without any global triangulation of  $M_n$ . This is a prelude to a similar study of topological manifolds which admit no triangulation.

The cogency of the second objective became evident in Morse's study of global variational analysis. The function spaces thereby arising are in general not even locally compact. To make the global theory depend on triangulations imposes difficulties which obscure the relations between the critical elements and the topology. This first historical reason was reinforced by the conviction that topological manifolds which admit topologically *ND* functions (see [3]) are more general than those which admit triangulations (see [1]). This last conviction is being further substantiated by current research of R. C. Kirby and L. C. Siebenmann. See [2].

The present paper continues the development in [5] of singular homology over Z on  $M_n$ . In [5] the following condition was imposed on f.

**Condition**  $C_0$  on f. Under condition  $C_0$ , f has different values a at different critical points.

The following theorem was proved in [5]. Its terms are there defined.

**Theorem 0.1 of** [5]. Under Condition  $C_0$  on f there exists an inductive group-theoretic mechanism by virtue of which relative numerical invariants, associated with the critical points of f on each subset

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<sup>&</sup>lt;sup>1</sup> We abbreviate the word nondegenerate by ND. Polar ND functions are shown to exist on  $M_n$  in [4].

$$(1.1) f_c = \{x \in |M_n| | f(x) \le c\}$$

of the carrier  $|M_n|$  of  $M_n$ , determine, up to an isomorphism, the singular homology groups over Z of the subspace  $f_c$  of  $M_n$ .

Paper [6] is concerned with the "orientability" of  $M_n$ . A current definition affirms that  $M_n$  is *orientable* if and only if its *n*-th Betti number is 1. We term such orientability "homological," and introduce what we term *geometric orientability*, defining such orientability without reference to homology or triangulation of  $M_n$ . A fundamental theorem of [6] follows.

**Corollary 9.1 of** [6]. The manifold  $M_n$  is geometrically orientable if and only if its n-th Betti number is 1.

In treating certain aspects of singular homology theory over Z the Condition  $C_0$  on f is too restrictive. In this paper we shall replace Condition  $C_0$  by the following condition.

**Condition**  $C_1$  **on** f. Under Condition  $C_1$ , critical points of f with different indices shall have different critical values. Critical points with the same index may or may not have the same critical values.

If Condition  $C_0$  is satisfied, Condition  $C_1$  is satisfied. We shall review some of the theorems of [5] established under Condition  $C_0$  on f, and give these theorems new forms under Condition  $C_1$  on f. In § 6 we shall return to a study of orientability and prove the following without making use of any triangulation of  $M_n$ .

**Theorem 1.0.** The torsion subgroup  $\mathcal{T}_{n-1}(|M_n|)$  of  $H_{n-1}(|M_n|, \mathbb{Z})$  is trivial or of order 2 according as  $M_n$  is geometrically orientable or not.

We prepare for the topological analysis of § 2 by Lemma 1.1 below. "Coset-contracting" isomorphisms are characterized in Theorem 1.2 of [5].

**Coset-contracting isomorphisms.** Extensive use of such isomorphisms will be made in § 2. We shall here prove a useful lemma.

Let  $\chi$  be a Hausdorff space and A a subspace of  $\chi$ , possibly empty. If  $A \neq \chi$  we term  $(\chi, A)$  an admissible set pair, and A a modulus of  $\chi$ . Let  $q \geq 0$  be an integer. Let  $(\chi, A)$  and  $(\chi', A')$  be admissible set pairs with  $(\chi, A)$  "preceding"  $(\chi', A')$ , in the sense that  $\chi \supset \chi'$  and  $A \supset A'$ . A coset-contracting isomorphism

(1.2) 
$$H_{q}(\chi, A, Z) \underset{\approx}{\Rightarrow} H_{q}(\chi', A', Z)$$

is defined in Theorem 1.2 of [5]. The arrow  $\rightarrow$  above  $\approx$  indicates that a relative homology class U of the group  $Z_q(\chi, A, Z)$  of singular q-cycles on  $\chi$  mod A over Z corresponds under the isomorphism (1.2) to a relative homology class U' of  $Z_q(\chi', A', Z)$ , such that  $U \supset U'$ . The conditions (a) and (b) of Theorem 1.2 of [5] are necessary and sufficient that (1.2) hold.

To formulate Lemma 1.1 let there be given admissible set pairs

(1.3) 
$$(\gamma, A), (\gamma', A'), (\chi'', A'')$$

with order of "precedence" the order of writing in (1.3).

**Lemma 1.1.** Sufficient conditions that (1.2) hold are that

(1.4) 
$$H_q(\chi, A, \mathbf{Z}) \underset{\approx}{\longrightarrow} H_q(\chi'', A'', \mathbf{Z}) ,$$

(1.5) 
$$H_q(\chi', A', Z) \gtrsim H_q(\chi'', A'', Z) .$$

The reader can show that the coset-contracting isomorphisms (1.4) and (1.5) imply that conditions (a) and (b) of Theorem 1.2 of [5] are satisfied and hence that (1.2) holds.

# 2. f-Saddles

Let a be a critical value of f and  $f_a$  the corresponding closed sublevel set defined in (1.1). Let  $p_a^1, p_a^2, \dots, p_a^{r_a}$  be the critical points at the f-level a. By Condition  $C_1$  on f these points have the same index k. We say that a then has the *index* k. If a is the minimum or maximum of f on  $|M_n|$ , then  $r_a = 1$ . We introduce the subspace

$$(2.1) f_a^- = f_a - p_a^1 - \dots - p_a^{r_a} (cf. (2.4) of [5])$$

of  $f_a$ . When  $r_a = 1$  we may denote  $p_a^1$  by  $p_a$  and  $f_a^-$  by  $f_a$  as in [5]. The principal use of  $f_a^-$  is as a *modulus* associated with  $f_a$  in the set pair  $(f_a, f_a^-)$  when  $0 < k \le n$ .

The sets  $N_a^i$ . Suppose that  $0 < (\text{index } a) \le n$ . With the critical points  $p_a^1, \dots, p_a^{r_a}$  we associate open subsets

$$(2.2) N_a^1, \cdots, N_a^{r_a}$$

of  $f_a$  which contain the respective points  $p_a^1, \dots, p_a^{r_a}$  and have disjoint closures. For each i we set  $N_a^i = N_a^i - p_a^i$ .

**Definition 2.1.** f-saddles. Set (index a) = k and suppose that  $0 < k \le n$ . A  $C^{\infty}$ -manifold  $L_k^i$  which is the  $C^{\infty}$ -diffeomorph of an open euclidean k-ball  $B_k^e$  of radius e and which is  $C^{\infty}$ -embedded in  $M_n$  so as to meet  $p_a^i$ , will be termed an f-saddle  $L_k^i$  at  $p_a^i$  if the following is true.

- (i) The point  $p_a^i$  is a ND critical point of  $f | L_k^i$  of index k;
- (ii)  $\dot{N}_a^i \supset |\dot{L}_k^i|$ , where  $|\dot{L}_k^i| = |L_k^i| p_a^i$ .

One should compare Definition 36.2 of [7] with this definition.

**Subsaddles of**  $L_p^i$ . For fixed a and i an "f-saddle  $\mathcal{L}_k^i$  at  $p_a^i$ " such that  $|L_k^i| \supset |\mathcal{L}_k^i|$  will be called a *subsaddle* of  $L_k^i$ . If  $\mathcal{L}_k^i$  is a subsaddle of  $L_k^i$  then for each integer  $q \ge 0$  there exists a coset-contracting isomorphism

$$(2.3) H_q(|L_k^i|,|\dot{L}_k^i|,Z) \gtrapprox H_q(|\mathcal{L}_k^i|,|\dot{\mathcal{L}}_k^i|,Z) \ .$$

The Excision Theorem 1.3 of [3] implies (2.3) on setting

(2.4) 
$$\chi = |L_k^i|, \quad A = |\dot{L}_k^i|, \quad A^* = |L_k^i| - |\mathcal{L}_k^i|.$$

Lemma 2.1, which follows, is an extension of (2.6) of [5]. In Lemma 2.1 the right member of (2.5) is the "external direct sum" of the groups indexed by i. The range of i is  $1, 2, \dots, r_a$ .

**Lemma 2.1.** If  $(index \ a) = k$  and if  $0 < k \le n$ , there exists for each integer  $q \ge 0$  an isomorphism

(2.5) 
$$H_q(f_a, f_a^-, Z) \approx \bigoplus_{i=1}^{r_a} H_q(|L_k^i|, |\dot{L}_k^i|, Z)$$
.

The relation (2.5) is a consequence of the coset-contracting isomorphism,

$$(2.6) H_q(f_a, f_a^-, \mathbf{Z}) \gtrapprox H_q(\bigcup_{i=1}^{r_a} N_a^i, \bigcup_{i=1}^{r_a} \dot{N}_a^i, \mathbf{Z}) ,$$

and of  $r_a$  coset-contracting isomorphisms,

$$(2.7) H_q(N_a^i, \dot{N}_a^i, \mathbf{Z}) \underset{\approx}{\Rightarrow} H_q(|L_k^i|, |\dot{L}_k^i|, \mathbf{Z}) (i = 1, \dots, r_a)$$

now to be established.

Proof of (2.6). The Excision Theorem 1.3 of [5] implies (2.6) on setting

(2.8) 
$$\chi = f_a$$
,  $A = f_a^-$ ,  $A^* = f_a - \bigcup_{i=1}^{r_a} N_a^i$ .

*Proof of* (2.7). We shall make use of Lemma 1.1 to prove (2.7). The analysis on page 330 of [7] shows<sup>2</sup> that for fixed a and i there exists an open neighborhood<sup>2</sup>  $Y^i$  of  $p^i_a$  with  $f_a \cap Y^i \subset N^i_a$  and a subsaddle<sup>2</sup>  $\mathcal{L}^i_k$  of  $L^i_k$  so small that the following is true:

**Proposition 2.1.** There exists a deformation retracting  $f_a \cap Y^i$  onto  $|\mathcal{L}_k^i|$  and  $f_a \cap Y^i$ , onto  $|\mathcal{L}_k^i|$ .

For fixed i Proposition 2.1 is similar to Proposition 36.1 of [7] and is proved similarly. It follows as in the proof of (36.1) of [7] that

$$(2.9) H_q(f_a \cap Y^i, f_a^- \cap Y^i, \mathbf{Z}) \underset{\approx}{\longrightarrow} H_q(|\mathcal{L}_k^i|, |\dot{\mathcal{L}}_k^i|, \mathbf{Z}) .$$

Since  $f_a \cap Y^i \subset N_a^i$  the Excision Theorem 1.3 of [5] implies that

$$(2.10) H_q(N_a^i, \dot{N}_a^i, \mathbf{Z}) \underset{\approx}{\Rightarrow} H_q(f_a \cap Y^i, f_a^- \cap Y^i, \mathbf{Z}) .$$

The isomorphism (2.10) followed by the isomorphism (2.9) implies that

$$(2.11) H_q(N_a^i, \dot{N}_a^i, \mathbf{Z}) \underset{\approx}{\rightleftharpoons} H_q(|\mathcal{L}_k^i|, |\dot{\mathcal{L}}_k^i|, \mathbf{Z}) .$$

Relations (2.11) and (2.3) yield (2.7) in accord with Lemma 1.1.

 $<sup>\</sup>overline{\phantom{a}^2}$  In [7], one is concerned with one critical point  $p_a$  and one neighborhood Y of  $p_a$  in  $f_a$ , and  $L_k$  is a subsaddle which could have been denoted by  $\mathcal{L}_k$ .

The proof of Lemma 2.1 concluded. The fact that the sets  $N_a^1, \dots, N_a^{r_a}$  have disjoint closures implies that the right member of (2.6) is isomorphic to the direct sum,

(2.12) 
$$\bigoplus_{i=1}^{r_a} H_q(N_a^i, \dot{N}_a^i, \mathbf{Z}) .$$
 (cf. (2.3) in [8].)

With this understood (2.6) and (2.7) imply (2.5). Thus Lemma 2.1 is true.

## 3. Universal k-caps

**Definition 3.0.** Let  $p_a^i$ ,  $i = 1, 2, \dots r_a$ , be the set of critical points of index k at a level a of f, with  $0 < k \le n$ . A singular k-cell  $\sigma^k$  which is simply-carried, in the sense of Definition 26.2b of [7], by an f-saddle  $L_k^i$  of  $p_a^i$  with  $p_a^i$  interior to  $|\sigma^k|$  will be denoted by  $\kappa_a^{k,i}$  and termed a universal k-cap at  $p_a^i$ .

The k-cap  $\kappa_a^{k,i}$  is termed "universal" because it is a k-cap of  $p_a$  over each field  $\mathcal{K}$ , as the Carrier Theorem 36.2 of [7] implies. See Definition 29.1 of [7] of k-caps over  $\mathcal{K}$ .

We supplement Definition 3.0 by the convention that when a is the absolute minimum of f on  $|M_n|$  the 0-cell carried by the critical point  $p_a$  at the level a is a *universal* 0-cap.

Given a universal k-cap  $\kappa_a^{k,i}$  we shall set

$$|\kappa_a^{k,i}| - p_a^i = |\dot{\kappa}_a^{k,i}|$$

and verify the following theorem.

**Theorem 3.1.** If (index a) = k and  $0 < k \le n$  then, for each integer  $q \ge 0$ , there exists an isomorphism

(3.2) 
$$H_q(f_a, f_a^-, Z) \approx \bigoplus_{i=1}^{r_a} H_q(|\kappa_a^{k,i}|, |\dot{\kappa}_a^{k,i}|, Z)$$
.

Let  $L_k^i$  be an f-saddle at  $p_a^i$  such that

$$(3.3) |\kappa_a^{k,i}| \subset |L_k^i| (i=1,\cdots,r_a).$$

The Excision Theorem then implies that

(3.4) 
$$H_q(|L_k^i|, |\dot{L}_k^i|, Z) \underset{\approx}{\longrightarrow} H_q(\kappa_a^{k,i}|, |\dot{\kappa}_a^{k,i}|, Z)$$

so that (3.2) follows from (2.5).

**Corollary 3.1.** Under the hypotheses of Theorem 3.1 the group  $H_q(f_a, f_a^-, Z)$  is finitely generated and free. When  $q \neq k$  this group is trivial and when q = k has the set

$$\kappa_a^{k,t}, \cdots, \kappa_a^{k,\tau_a}$$

of universal k-caps as a prebase.

The corollary is a consequence of (3.2) and a lemma concerning the *i*-th summand in the right member of (3.2). This lemma is derived from Theorem 2.2 of [5] with  $\kappa_a^{k,i}$  replacing  $\kappa_a^k$  therein. The lemma follows.

**Lemma 3.0.** If  $\kappa_a^{k,i}$  is a universal k-cap of  $p_a^i$  with  $0 < k \le n$ , then for each  $q \ge 0$  the group

$$(3.6) H_q(|\kappa_a^{k,i}|,|\dot{\kappa}_a^{k,i}|,Z)$$

is a finitely generated, free, abelian group whose dimension is  $\delta_4^k$  and for which  $\kappa_a^{k,i}$  is a prebase when q = k.

**Corollary 3.2.** If under the hypotheses of Theorem 3.1 and Corollary 3.1,  $y^k$  and  $z^k$  are universal k-caps of  $p_a^i$ , then for some choice of e as  $\pm 1$ 

$$(3.7) y^k \sim ez^k (on f_a \bmod f_a^-).$$

a-Level (n-1)-caps. When a is a critical value of index n-1 there are special (n-1)-caps of each critical point  $p_a^i$  whose carriers are simply-carried (n-1)-cells on the level set  $f^a$ . To describe these cells we shall recall the form taken by Theorem 3.1 of [6] when k=n-1. To that end let  $D_\sigma$  be an open origin-centered n-ball of radius  $\sigma$  in a euclidean space of rectangular coordinates  $u_1, \dots, u_n$ . Let

$$(3.8) (I_a^i: D_a, X_a^i) \in \mathcal{D}M_n (I_a^i(\mathbf{O}) = p_a^i)$$

be a presentation of a neighborhood  $X_a^i$  of  $p_a^i$  on  $M_n$ . Theorem 3.1 of [6] permits us to affirm the following.

**Lemma 3.1.** Corresponding to a sufficiently small positive constant  $\sigma$  and to the i-th critical point  $p_a^i$  of index n-1 on  $f^a$ , the Riemaniann metric on  $M_n$  may be supposed such that there exist isometric mappings  $I_a^i$  of form (3.8) of  $D_a$  onto respective neighborhoods  $X_a^i$  of  $p_a^i$  on  $M_n$  such that

$$(3.9) f(I_a^i(u)) - a = -u_1^2 - \cdots - u_{n-1}^2 + u_n^2 (u \in D_\sigma).$$

We can and will suppose that  $\sigma$  is so small that the closures of the neighborhoods  $X_a^i$  are disjoint

The cone  $A_{n-1}$ . The cone

(3.10) 
$$\Lambda_{n-1} = \{ u \in E_n | u_n^2 = u_1^2 + \cdots + u_{n-1}^2 \}$$

has subsets  $A_{n-1}^+$  and  $A_{n-1}^-$  on which  $u_n \ge 0$  and  $u_n \le 0$  respectively. The subsets  $A_{n-1}^+$  and  $A_{n-1}^-$  intersect only in the origin. Set

$$(3.11) I_a^i(A_{n-1}^- \cap D_a) = T_a^i , I_a^i(A_{n-1}^+ \cap D_a) = \mathcal{T}_a^i .$$

**Definition 3.1.** Opposite (n-1)-faces of  $f^a$  at  $p_a^i$ . Given a critical point

<sup>3</sup> Unless otherwise specified, chains, cycles and homologies shall be over Z in this paper.

 $p_a^i$  of index n-1, the topological (n-1)-balls  $\mathcal{F}_a^i$  and  $T_a^i$  on  $f^a$  will be called opposite (n-1)-faces of  $f^a$  at  $p_a^i$ . These faces are carried by  $f^a$  and intersect in  $p_a^i$ .

The "universal k-caps" previously defined have been simply-carried by "f-saddles". This made a proof of the "Saddle Theorem" (Corollary 36.1 of [7]) possible. We have need of (n-1)-caps not simply-carried by f-saddles but rather by singular level sets  $f^a$ . Each such k-cap will be associated with a critical point  $p^a_a$  of index n-1 on  $f^a$  and defined as follows.

**Definition 3.2.** a-Level (n-1)-caps. Given "opposite (n-1)-faces"  $T_a^i$  and  $\mathcal{F}_a^i$  of  $f^a$  at a critical point  $p_a^i$ , a singular (n-1)-cell  $\sigma^{n-1}$  which is simply-carried by  $T_a^i$  or  $\mathcal{F}_a^i$  with  $p_a^i$  on the interior of  $|\sigma^{n-1}|$  will be termed an a-level (n-1)-cap of  $p_a^i$  and will be denoted by  $K_a^{n-1,i}$  or  $\mathcal{K}_a^{n-1,i}$  respectively.

The following lemma is essential.

**Lemma 3.2.** Let  $p_a^i$  be the i-th critical point of index n-1 at the f-level a. With  $p_a^i$  suppose that there is associated a universal (n-1)-cap  $\kappa_a^{n-1,i}$  together with a-level (n-1)-caps  $K_a^{n-1,i}$  and  $\mathcal{K}_a^{n-1,i}$  on opposite faces of  $f^a$  at  $p_a^i$ . Then for suitable choices of e and e as e 1

(3.12) 
$$K_a^{n-1,i} \sim e \kappa_a^{n-1,i}$$
,  $\mathcal{K}_a^{n-1,i} \sim \varepsilon \kappa_a^{n-1,i}$  (on  $f_a$ , mod  $f_a - p_a^i$ ).

We shall establish this lemma with the aid of two deformations d and D.

The deformation d. In the n-space  $E_n$  of coordinates  $u_1, \dots, u_n$  of the domain  $D_{\sigma}$  of the presentation (3.8), let  $E_{n-1}$  be the coordinate (n-1)-plane on which  $u_n=0$  and let  $\pi$  be the orthogonal projection of  $E_n$  onto  $E_{n-1}$ . Under  $\pi$  the point  $u=(u_1,\dots,u_n)\in E_n$  goes into the point  $\pi(u)=(u_1,\dots,u_{n-1})\in E_{n-1}$ . A deformation

$$(3.13) (u,t) \rightarrow d(u,t) \colon E_n \times [0,1] \rightarrow E_n$$

retracting  $E_n$  onto  $E_{n-1}$  (cf. Def. 23.1 of [7]) is defined by setting

$$d(u,t) = (u_1, \dots, u_{n-1}, (1-t)u_n) \qquad (0 \le t \le 1)$$

for each  $u \in E_n$ . The partial mapping  $u \to d(u,t)$  is denoted by  $d_t$ . Let  $B_\tau$  be the origin-centered (n-1)-ball in  $E_{n-1}$  of radius r. The images under  $d_1$  of both  $A_{n-1}^- \cap D_\sigma$  and  $A_{n-1}^+ \cap D_\sigma$  is  $B_\rho$  with  $\rho = \sigma/\sqrt{2}$ . Under d the sets  $A_{n-1}^- \cap D_\sigma$  and  $A_{n-1}^+ \cap D_\sigma$  are isotopically deformed onto  $B_\rho$  holding the origin fast.

**The deformation** D. The presentations (3.8) characterized in Lemma 3.1 have been denoted by  $I_a^i$ .

The image of the origin under  $I_a^i$  is  $p_a^i$ . The range of  $I_a^i$  is  $X_a^i$ . Set  $X = \bigcup_{i=1}^{\tau_a} X_a^i$ . A deformation

$$(3.14) (x,t) \to D(x,t) : X \times [0,1] \to X$$

retracting  $X_a^i$  onto  $I_a^i(B_a)$  for each i is defined by setting

(3.15) 
$$D(x,t) = I_a^i(d(u,t)) \qquad (i = 1, \dots, r_a)$$

for  $0 \le t \le 1$  and for each pair (x, u) such that  $u \in D_{\sigma}$  and  $x = I_{\alpha}^{i}(u)$ . Note that the set  $I_{\alpha}^{i}(B_{\sigma})$  is the carrier of an f-saddle  $L_{n-1}^{i}$  at  $p_{\alpha}^{i}$ .

The (n-1)-cell  $t^i=K_a^{n-1,i}$ . Under D,  $|t^i|$  is deformed on  $X_a^i\cap f_a$  onto  $D_1(|t^i|)$ . The mapping  $D_1$  induces a chain transformation  $\widehat{D}_1$  (cf. Def. 26.5 of [7]) which maps each singular cell on  $X_a^i$  into a singular cell on  $D_1(X_a^i)$ . In particular,  $\widehat{D}_1(t^i)$  is a singular (n-1)-cell  $y_i^{n-1}$ , simply-carried on  $|L_{n-1}^i|$  with  $p_a^i$  on the interior of  $|y_i^{n-1}|$ . Hence  $y_i^{n-1}$  is a universal (n-1)-cap at  $p_a^i$ . It follows from Corollary 3.2 that for some choice of e as  $\pm 1$ 

(3.16) 
$$y_i^{n-1} \sim e \kappa_a^{n-1,i} \quad (\text{on } f_a \mod (f_a - p_a^i))$$
.

 $D|(X_a^i \times [0, 1])$  is a deformation retracting  $X_a^i$  onto  $D_1(X_a^i)$  and since  $|t^i| \subset X_a^i$ , it follows from Theorem 1.4 of [5] that for  $i = 1, \dots, r_a$ ,

(3.17) 
$$t^i \sim \hat{D}_i(t^i) = y_i^{n-1} \quad \text{(on } f_a \bmod (f_a - p_a^i)) .$$

The first homology in (3.12) follows from (3.16) and (3.17). The second homology in (3.12) follows similarly. This completes the proof of Lemma 3.2.

# 4. Lemmas on singular homology

Let M be the maximum of f on  $|M_n|$  and  $p_M$  be the unique critical point of index n at the f-level M. The n-caps associated with  $p_M$  play a special role in the study of the orientability of  $M_n$ , as the proof of Theorem 9.1 of [6] shows. We shall construct a universal n-cap  $\kappa_M^n$  associated with  $p_M$ .

The level manifold  $f^{\beta}$ . To that end let  $\beta$  be an ordinary value of f such that the open interval  $(\beta, M)$  contains no critical values of f. The set

$$f_{[\beta,M]} = \{x \in |M_n| | \beta \le x \le M\}$$

is a topological n-disc  $\Delta_n$  on  $|M_n|$ , bounded on  $|M_n|$  by the topological (n-1)-sphere  $f^{\beta}$ . It follows that there is a universal n-cap  $\kappa_M^n$  defined by an equivalence class of homeomorphic maps onto  $\Delta_n$  of vertex-ordered n-simplices. (See p. 371 of [7] or Definition 2.2 of [5].) We set

$$(4.2) \partial \kappa_{M}^{n} = y_{\beta}^{n-1}$$

and note that  $y_{\beta}^{n-1}$  is carried by  $f^{\beta}$ .

We shall verify the following lemma.

**Lemma 4.1.** The (n-1)-cycle  $y_{\beta}^{n-1}$  of (4.2) is an (n-1) st  $IHP^4$  of  $f^{\beta}$ .

<sup>&</sup>lt;sup>4</sup> IHP abbreviates the term "integral homology prebase". An IHP of  $f^{\beta}$  by definition is a prebase over Z of a Betti subgroup of  $H_{n-1}(f^{\beta}, Z)$ .

**Proof.** Let  $z^{n-1}$  be an arbitrary (n-1)-cycle on  $f^{\beta}$ . There exists an integral n-chain  $z^n$  on  $|\kappa_M^n| = \Delta_n$  such that  $z^{n-1} = \partial z^n$ . The chain  $z^n$  is thus an n-cycle on  $\Delta_n \mod \dot{\Delta}$  where  $\dot{\Delta}_n = \Delta_n - p_M$ . It follows from Lemma 3.0, with k = n, that for some integer  $\mu$ 

$$(4.3) z^n \sim \mu \kappa_M^n (\text{on } \Delta_n \text{ mod } \Delta_n) .$$

The application of  $\partial$  to the members of (4.3) gives the homology

$$(4.4) z^{n-1} \sim \mu \partial \kappa_M^n (\text{on } \dot{\Delta}_n) .$$

Since there exists an f-deformation retracting  $\dot{\Delta}_n$  onto  $f^{\beta}$ , (4.2) and (4.4) imply that

$$z^{n-1} \sim \mu y_{\beta}^{n-1} \qquad (\text{on } f^{\beta})$$

thereby establishing the lemma.

We shall make use of the following lemma.

**Lemma 4.2.** Let  $\chi$  be a Hausdorff space and r a positive integer such that  $H_r(\chi, \mathbf{Z})$  is torsion-free. A nontrivial integral r-cycle  $z^r$  on  $\chi$  such that  $z^r \sim 0$  over  $\mathbf{Q}$  on  $\chi$  is such that  $z^r \sim 0$  on  $\chi$  over  $\mathbf{Z}$ .

By hypothesis there exists a rational chain  $c^{r+1}$  on  $\chi$  such that  $z^r = \partial c^{r+1}$ . For a suitably chosen positive integer m,  $mc^{r+1}$  will be an integral chain  $w^{r+1}$ , so that  $mz^r = \partial w^{r+1}$  and hence  $mz^r \sim 0$  over Z on  $\chi$ . It follows that  $z^r \sim 0$  on  $\chi$ . Otherwise,  $z^r$  would be in the torsion subgroup of  $H_r(\chi, Z)$ , contrary to hypothesis.

Thus Lemma 4.2 is true.

**Lemma 4.3.** If c is an ordinary value of f such that the critical points on  $f_c$  have indices less than some positive integer  $\mu$ , then the following is true:

- (i) The homology group  $H_n(f_c, \mathbf{Z})$  is trivial.
- (ii) The homology group  $H_{\mu-1}(f_c, \mathbb{Z})$  is torsion free.

We shall prove this lemma by means of theorems in [5]. Since the function f was subject to the Condition  $C_0$  in [5] we shall here suppose that Condition  $C_0$  is satisfied. Were Condition  $C_0$  not satisfied a slight alteration of f near the critical points of f can be made so that Condition  $C_0$  is satisfied. This alteration of f can be made in accord with Lemma 22.4 of [7] in such a manner that the set  $f_0$  is unaltered as well as the critical points on  $f_0$  and their indices.

**Notation.** In accord with the notation in [5], for each critical value a of f and integer  $q \ge 0$  we shall set

$$(4.5) H_q^a = H_q(f_a, \mathbf{Z}) ,$$

and when (index a) is positive set

$$\dot{H}_{q}^{a} = H_{q}(\dot{f}_{a}, \mathbf{Z}) .$$

Let  $\mathcal{F}_q^a$  and  $\dot{\mathcal{F}}_q^a$  denote the torsion subgroups of  $H_q^a$  and  $\dot{H}_q^a$  respectively. With f altered as above, the critical values of f less than c form a sequence,

$$(4.7) a_0 < a_1 < a_2 < \cdots < a_m < c.$$

We shall examine the sequence

$$(4.8q) H_q^{a_0}; \dot{H}_q^{a_1}, H_q^{a_1}; \dot{H}_q^{a_2}, H_q^{a_2}; \cdots; \dot{H}_q^{a_m}, H_q^{a_m}; H_q(f_c, \mathbf{Z})$$

of homology groups.

*Proof of* (i). To establish (i) we show inductively that the homology groups of the sequence  $(4.8\mu)$  are trivial.

This is true of  $H^{a_0}_{\mu}$ , since  $\mu > 0$ . Let s have the range  $1, 2, \dots, m-1$ . If  $H^{a_{s-1}}_{\mu}$  is trivial then  $\dot{H}^{a_s}_{\mu}$  is trivial, since there exists an "f-deformation" retracting  $\dot{f}_{a_s}$  onto  $f_{a_{s-1}}$ . Similarly, if  $H^{a_m}_{\mu}$  is trivial  $H_{\mu}(f_c, \mathbb{Z})$  is trivial since there exists an f-deformation retracting  $f_c$  onto  $f_{a_m}$ . Moreover,

(4.9) 
$$\dot{H}^{a_s}_{\mu} \approx H^{a_s}_{\mu} \qquad (s = 1, \dots, m) .$$

Proof of (4.9). The Betti number  $\beta_{\mu}(\dot{f}_{a_s}) = \beta_{\mu}(f_{a_s})$  since (index  $a_s$ )  $< \mu$  (see (7.11) of [5]), and the torsion group  $\dot{\mathcal{F}}_{\mu}^{a_s} \approx \mathcal{F}_{\mu}^{a_s}$  by Theorem 7.3(i) of [5]. Lemma 4.3(i) follows.

*Proof of* (ii). To establish (ii) we show inductively that the groups in the sequence  $\mathscr{T}^{a_0}_{\mu-1}$ ;  $\dot{\mathscr{T}}^{a_1}_{\mu-1}$ ,  $\mathscr{T}^{a_1}_{\mu-1}$ ;  $\cdots$ ;  $\dot{\mathscr{T}}^{a_m}_{\mu-1}$ ,  $\mathscr{T}^{a_m}_{\mu-1}$ ;  $\mathscr{T}_{\mu-1}(f_c, \mathbf{Z})$  are trivial.

It is clear that  $\mathcal{F}^{a_0}_{\mu-1}$  is trivial. Let s be on the range  $1, \dots, m-1$ . If  $\mathcal{F}^{a_{s-1}}_{\mu-1}$  is trivial, then  $\dot{\mathcal{F}}^{a_s}_{\mu-1}$  is trivial, since there exists an f-deformation retracting  $\dot{f}_{a_s}$  onto  $f_{a_{s-1}}$ . If  $\mathcal{F}^{a_m}_{\mu-1}$  is trivial  $\mathcal{F}_{\mu-1}(f_c, \mathbf{Z})$  is trivial for similar reasons. For s on the range  $1, \dots, m, \dot{\mathcal{F}}^{a_s}_{\mu-1} \approx \mathcal{F}^{a_s}_{\mu-1}$  by virtue of Theorem 7.3(i) of [5], since (index  $a_s$ )  $\leq \mu - 1$ .

Lemma 4.3(ii) follows.

Lemma 4.3 implies following.

**Lemma 4.4.** Let a be a critical value of f of positive index  $\mu$  such that critical points on  $f_a^-$  have indices less than  $\mu$ , then the following is true:

- (i) The homology group  $H_{\mu}(f_a^-, \mathbb{Z})$  is trivial.
- (ii) The torsion group of  $H_{\mu-1}(f_a^-, \mathbb{Z})$  is trivial.

Let c be an ordinary value of f such that (c, a) is an interval of ordinary values of f. For this c Lemma 4.3 is true as stated and implies Lemma 4.4.

**A corollary on orientability of**  $M_n$ . In [6] we have proved the following. **Theorem 4.1.** The manifold  $M_n$  is geometrically orientable or nonorientable

**Theorem 4.1.** The manifold  $M_n$  is geometrically orientable or nonorientable according as the connectivity  $R_n(|M_n|, \mathbf{Q}) = 1$  or 0.

**Notation for Corollary 4.1.** Recall that a critical point  $p_a$  of positive index

<sup>&</sup>lt;sup>5</sup> See Cor. 23.1 of [7]. Retracting deformations whose "trajectories" are ortho-f-arcs will be called f-deformations.

k, unique at an f-level a, is said to be of *linking* type over a field  $\mathcal{K}$  if for *some* k-cap  $u^k$  associated with  $p_a$ ,  $\partial u^k \sim 0$  on  $\dot{f}_a$  over  $\mathcal{K}$ . (Cf. [7, p. 259].) It was shown in [7] that if  $p_a$  is of linking type, then for each k-cap  $v^k$  associated with  $p_a$ ,  $\partial v^k \sim 0$  on  $\dot{f}_a$  over  $\mathcal{K}$ .

We state a corollary of Theorem 4.1.

**Corollary 4.1.** The manifold  $M_n$  is geometrically orientable or nonorientable according as the critical point  $p_M$  is or is not of linking type over Q.

*Proof.* As in Lemma 4.1 let  $\beta$  be an ordinary value of f such that the interval  $(\beta, M)$  contains no critical value of f. The critical values a of f less than M have indices less than n. It follows from Lemma 4.3(i) that the Betti number  $\beta_n(f_\beta)$  vanishes. By a classical theorem the connectivity  $R_n(f_\beta, Q) = \beta_n(f_\beta)$  so that  $R_n(f_\beta, Q)$  also vanishes. Moreover,  $R_n(f_M, Q) = 0$  since there exists an f-deformation retracting  $f_M$  onto  $f_\beta$ . Since  $f_M = |M_n|$  it follows from Theorem 29.2 of [7] that  $R_n(M_n, Q) = 1$  or 0 according as the critical point  $p_M$  is or is not of linking type.

Corollary 4.1 now follows Theorem 4.1.

In (4.2) we have introduced a universal *n*-cap  $\kappa_M^n$  with algebraic boundary  $y_{\beta}^{n-1}$ . The critical point  $p_M$  is of linking type if and only if  $y_{\beta}^{n-1} \sim 0$  on  $\dot{f}_M$  over Q. For future use we formulate a consequence of this fact and of Corollary 4.1.

**Corollary 4.2.** The manifold  $M_n$  is geometrically orientable or nonorientable according as the integral cycle  $y_{\beta}^{n-1}$  is or is not rationally bounding on  $f_{M}$ .

# 5. The homology class of $y_{\beta}^{n-1}$ on $\dot{f}_{M}$

In this section we suppose that  $M_n$  in nonorientable.

The (n-1)-cycle  $y_{\beta}^{n-1}$  was introduced in (4.2). Its carrier is the topological (n-1)-sphere  $f^{\beta}$ .

**Subdivisions of**  $y_{\beta}^{n-1}$ . Let  $y_{\beta,\mu}^{n-1}$  denote the  $\mu$ -th "barycentric subdivision" of  $y_{\beta}^{n-1}$ . (See p. 217 of [7].) The cycle  $y_{\beta,\mu}^{n-1}$  has a "reduced form,"

(5.0) 
$$y_{\beta,\mu}^{n-1} = e_1 \sigma_1^{n-1} + \cdots + e_m \sigma_m^{n-1}$$

where the cells  $\sigma_i^{n-1}$  of this "reduced form" are simply-carried by  $f^{\beta}$ , where  $e_i = \pm 1$  and for  $i \neq j$ ,  $|\sigma_i^{n-1}| \cap |\sigma_j^{n-1}|$  includes no open subset of  $f^{\beta}$ . We seek an integral linear combination  $u^{n-1}$  of elements of a prebase of  $H_{n-1}(f_{\beta}, \mathbb{Z})$  such that  $u^{n-1} \sim y_{\beta}^{n-1}$  on  $f_{\beta}$ , or equivalently on  $f_{M}$ . Since  $M_n$  is assumed nonorientable,  $y_{\beta}^{n-1}$  is rationally nonbounding on  $f_{\beta}$  in accord with Corollary 4.2.

How large the "index  $\mu$  of subdivision" of  $y_{\beta}^{n-1}$ , should be, will presently be indicated.

A condition  $\Omega$  on f. Because of the hypothesis of § 5 that  $M_n$  is non-orientable, there must be at least one critical value of f of index n-1. Otherwise, the group  $H_{n-1}(f_{\beta}, \mathbb{Z})$  would be trivial by Lemma 4.3 (i), contrary to Corollary 4.2. Under the condition  $\Omega$  on f, all critical points of f of index n-1

shall be at one f-level, a level  $\omega$  greater than each critical value of f with a smaller index. The reasoning of § 4 of [6] shows that this condition is either satisfied by f or will be satisfied after a suitable modification of f that leaves the set  $f_{\beta}$  invariant.

**Notation.** Under condition  $\Omega$  on f let

(5.1) 
$$p_{\alpha}^{1}, \dots, p_{\alpha}^{r} \quad (r > 0)$$

be the critical points of f of index n-1 at the f-level  $\omega$  and let

$$(5.2) (u_1, \dots, u_r) = (\kappa_{\omega}^{n-1,1}, \dots, \kappa_{\omega}^{n-1,r})$$

be a set of universal (k-1)-caps associated with the respective critical points (5.1) and, as in § 2, carried in open subsets

$$(5.3) N_{\omega}^1, \cdots, N_{\omega}^r$$

of  $f_{\omega}$  with disjoint closures.

A retracting deformation  $\delta$ . The subspace  $f_{\beta}$  of  $|M_n|$  admits an f-deformation

$$(5.4) (x,t) \to \delta(x,t): f_{\beta} \times [0,1] \to f_{\beta}$$

retracting  $f_{\beta}$  onto  $f_{\omega}$ . Under  $\delta$  each point  $x \in f_{\beta} - f_{\omega}$  descends on an ortho-f-arc to a limiting end point on  $f^{\omega}$ . The terminal mapping  $\delta_1$  of  $\delta$  maps  $f^{\beta}$  biuniquely onto  $f^{\omega}$ , except that each critical point  $p_{\omega}^i$ ,  $i = 1, \dots, r$  of f on  $f^{\omega}$  has two antecedents, say

$$(5.5) q_1^i , q_2^i ,$$

on  $f^{\beta}$ . The 1-bowl  $B^{i}$  ascends from  $p_{\omega}^{i}$  to meet  $f^{\beta}$  in the two points (5.5).

A condition  $\Omega_1$  on  $\mu$  and on f. We can suppose that the "index  $\mu$  of subdivision" of  $y_{\beta}^{n-1}$  is so large that as i ranges over the set  $1, \dots, r$ , no two of the 2r points (5.5) are carried by the same (n-1)-cell of  $y_{\beta,\mu}^{n-1}$ . We suppose further that f is modified, if necessary, on  $f_{(\omega,\beta)}$  so that the points (5.5) are in the interiors of (n-1)-cells of  $y_{\beta,\mu}^{n-1}$  (are represented in (5.0)). This will occur after a suitable modification of the 1-bowls  $B^i$  ascending from the points  $p_{\omega}^i$ . Let

(5.6) 
$$\tau_1^{n-1,i}$$
,  $\tau_2^{n-1,i}$ 

by the (n-1)-cells in the reduced form (5.0) of  $y_{\beta,\mu}^{n-1}$  whose carriers contain the points  $q_1^i$  and  $q_2^i$ , respectively.

The terminal mapping  $\delta_1$  of  $\delta$ . According to Definition 26.5 of [3], the terminal mapping  $\delta_1$  of  $\delta$  induces a chain transformation  $\hat{\delta}_1$  of chains  $y^m$  on  $f_{[\omega,\beta]}$  into chains  $\hat{\delta}_1 y^m$  on  $f^{\omega}$ . According to Theorem 1.4 of [5], and Corollary 27.3 of [7],

(5.7) 
$$y_{\beta}^{n-1} \sim \widehat{\delta}_1 y_{\beta,\mu}^{n-1} = z^{n-1}$$
 (on  $f_{[\alpha,\beta]}$ )

introducing the (n-1)-cycle  $z^{n-1}$ . It is clear that  $|z^{n-1}| = f^{n}$ . For i on the range  $1, \dots, r$ , set

(5.8) 
$$\widehat{\delta}_1 \tau_1^{n-1,i} = \eta_i^{n-1}, \quad \widehat{\delta}_1 \tau_2^{n-1,i} = \zeta_i^{n-1}.$$

If the "index  $\mu$  of subdivision" of  $y_{\beta}^{n-1}$  is sufficiently large (as we suppose the case)  $\eta_i^{n-1}$  and  $\zeta_i^{n-1}$  are simply-carried by "opposite faces" of  $f^{\omega}$  at  $p_{\omega}^i$ , and are " $\omega$ -level (n-1)-caps" of  $p_{\omega}^i$ . (Cf. Def. 3.2.) If for i on the range  $1, \dots, r, e_i$  and  $e_i'$  have suitable values  $\pm 1$  one sees that

$$z^{n-1} = e_i \eta_i^{n-1} + e_i' \zeta_i^{n-1} + c^{n-1},$$

where the repeated index i indicates summation of the corresponding terms over the range  $1, \dots, r$  of i, and  $c^{n-1}$  is an (n-1)-chain on  $f^{\omega}$  whose carrier meets the interior of none of the carriers  $|\eta_i^{n-1}|$  and  $|\zeta_i^{n-1}|$ .

In (5.9) the chain  $c^{n-1}$  is on  $f_{\alpha}^{-}$ , as defined in (2.1). Taking account of this fact and of Lemma 3.2 we are led to the following lemma.

**Lemma 5.1.** Under the hypothesis that  $M_n$  is nonorientable the critical points  $p_{\omega}^i$ ,  $i = 1, \dots, r$ , of f of index n - 1, given in (5.1), can be reordered, together with their respective universal caps (5.2), so that the following is true.

For a suitable positive integer  $\nu \le r$  and proper choices of integers  $\rho_i$  as  $\pm 1$ ,

$$(5.10) y_{\beta}^{n-1} \sim 2\rho_{1}\kappa_{\omega}^{n-1,1} + \cdots + 2\rho_{\nu}\kappa_{\omega}^{n-1,\nu} (on f_{\beta} \bmod f_{\omega}^{-}).$$

The homology (5.10) is an immediate consequence of (5.9), (5.7) and Lemma 3.2 on " $\omega$ -level (n-1)-caps" (such as  $\eta_i^{n-1}$  and  $\zeta_i^{n-1}$ ) provided that one excludes the possibility that *all* the coefficients  $2\rho_i$  in (5.10) are 0. That is, one must exclude the homology

$$(5.11) y_{\beta}^{n-1} \sim 0 (on f_{\beta} \bmod f_{\omega}^{-})$$

or equivalently the homology

(5.12) 
$$y_{\beta}^{n-1} \sim c_{-}^{n-1}$$
 (on  $f_{\beta}$ ),

where  $c_{-}^{n-1}$  is an (n-1)-cycle on  $f_{\omega}^{-}$ . However, Lemma 4.4 (i) implies that an (n-1)-cycle  $c_{-}^{n-1}$  which is on  $f_{\omega}^{-}$  is bounding on  $f_{\omega}^{-}$ . If then (5.12) held,  $y_{\beta}^{n-1} \sim 0$  on  $f_{\beta}$ , contrary to Corollary 4.2.

We infer the truth of Lemma 5.1.

In the proof of Theorem 5.1 which follows we shall make use of a lemma in abelian group theory formulated as Lemma 3.1 in [8].

**Introduction to Lemma 5.2.** Let A be an arbitrary finitely generated abelian group. If  $\mathcal{F}$  is the torsion subgroup of A it is well-known that there

exists a free subgroup  $\mathcal{B}$  of A (termed complementary to  $\mathcal{F}$ ) such that

$$(5.13) A = \mathscr{B} \oplus \mathscr{T} .$$

We term  $\mathscr{B}$  a *Betti subgroup* of A. The group  $\mathscr{B}$  has a finite base  $u_1, \dots, u_m$ , possibly empty. Any unimodular transform of a base of  $\mathscr{B}$  is again a base of  $\mathscr{B}$ . In formulating Lemma 5.2 we shall write  $x \equiv y \mod \mathscr{T}$  whenever x and y are elements in A such that x = y is in  $\mathscr{T}$ .

**Lemma 5.2.** Corresponding to a prescribed element  $w \in A$  of infinite order there exists a unique positive integer s such that

$$(5.14) w = su mod \mathcal{F}$$

for some element u in a base of a Betti subgroup of A.

A proof of this lemma in the form of Lemma 3.1 of [8] is given in [8].

We conclude this section with the following theorem.

**Theorem 5.1.** When  $M_n$  is nonorientable the cycle  $y_3^{n-1}$  introduced in (4.2) satisfies a homology

$$(5.15) y_{\beta}^{n-1} \sim 2\lambda^{n-1} (on \ \dot{f}_{M})$$

where  $\lambda^{n-1}$  is an element in a prebase of a Betti subgroup of  $H_{n-1}(\dot{f}_M, \mathbf{Z})$ . Proof. Turning to (5.10) we set

(5.16) 
$$\rho_1 \kappa_n^{n-1,1} + \cdots + \rho_n \kappa_n^{n-1,\nu} = c_n^{n-1}$$

obtaining thereby a chain  $c_{\alpha}^{n-1}$  on  $f_{\alpha}$ . According to (5.10) and (5.16)

$$(5.17) y_{\frac{n}{2}}^{n-1} = 2c_{\alpha}^{n-1} + \partial c_{\frac{n}{2}}^{n} + c_{-}^{n-1}$$

where  $c_{\beta}^{n}$  is a chain on  $f_{\beta}$  and  $c_{-}^{n-1}$  a chain on  $f_{\omega}^{-}$ . From (5.17) we infer that

$$(5.18) 2\partial c_{\omega}^{n-1} = -\partial c_{-}^{n-1}$$

so that  $\partial c_{\omega}^{n-1}$  is rationally bounding on  $f_{\omega}^{-}$ . It follows from Lemma 4.4 (ii)<sup>6</sup> that  $H_{n-2}(f_{\omega}^{-}, \mathbb{Z})$  is torsion-free and then from Lemma 4.2 that  $\partial c_{\omega}^{n-1}$  is integrally bounding on  $f_{\omega}^{-}$ . That is,  $\partial c_{\omega}^{n-1} = \partial w_{-}^{n-1}$ , where  $w_{-}^{n-1}$  is a chain on  $f_{\omega}^{-}$ . We now set

$$\lambda^{n-1} = c_n^{n-1} - w_n^{n-1}$$

so that  $\lambda^{n-1}$  is an (n-1)-cycle on  $f_{\omega}$ , and verify statements (i), (ii), (iii) below.

(i) The cycle  $\lambda^{n-1}$  satisfies the homology (5.15).

Proof of (i). It follows from (5.17) and (5.19) that the chain

<sup>&</sup>lt;sup>6</sup> With  $a = \omega$  and  $\mu = n - 1$  in Lemma 4.4.

$$(5.20) y_s^{n-1} - 2\lambda^{n-1} - \partial c_s^n = z_-^{n-1} (introducing z_-^{n-1})$$

is an (n-1)-cycle on  $f_{\omega}^-$ , and from Lemma 4.4 (i)<sup>6</sup> that  $z_{-}^{n-1} \sim 0$  on  $f_{\omega}^-$ , so that  $y_{\beta}^{n-1} \sim 2\lambda^{n-1}$  on  $f_{M}^-$ . Thus statement (i) is true.

We note that  $\lambda^{n-1}$ , as defined by (5.9), satisfies the homology

$$(5.21) \lambda^{n-1} \sim \rho_1 \kappa_{\omega}^{n-1,1} + \cdots + \rho_{\nu} \kappa_{\omega}^{n-1,\nu} (on f_{\omega} \bmod f_{\omega}^{-}).$$

(ii) The cycle  $\lambda^{n-1}$  is an element in a prebase of a Betti subgroup of  $H_{n-1}(f_M, \mathbb{Z})$ .

The cycle  $\lambda^{n-1} \not\sim 0$  on  $\dot{f}_M$ , since  $y_{\beta}^{n-1} \not\sim 0$  on  $\dot{f}_M$  by Corollary 4.2 and (5.15) holds. Hence  $\lambda^{n-1} \not\sim 0$  on  $f_{\omega}$ . To establish (ii) it is sufficient to establish the following.

(iii) The cycle  $\lambda^{n-1}$  is an element in a prebase of a Betti subgroup of  $H_{n-1}(f_{\omega}, \mathbb{Z})$ .

To establish (iii) we shall apply Lemma 5.2 to the abelian group  $A = H_{n-1}(f_{\omega}, \mathbf{Z})$ , taking  $\omega$  of Lemma 5.2 as the homology class on  $f_{\omega}$  of  $\lambda^{n-1}$ . The group  $H_{n-1}(\dot{f}_{M}, \mathbf{Z})$  is torsion free by Lemma 4.4 (ii). Hence the isomorph  $H_{n-1}(f_{\omega}, \mathbf{Z})$  of  $H_{n-1}(\dot{f}_{M}, \mathbf{Z})$  is torsion-free. In applying Lemma 5.2 to  $A = H_{n-1}(f_{\omega}, \mathbf{Z})$  we can accordingly suppose that  $\mathcal{T} = 0$ . According to Lemma 5.2 there then exists a positive integer s such that

$$(5.22) \lambda^{n-1} \sim sv^{n-1} (on f_m)$$

for some element  $v^{n-1}$  in a prebase of  $H_{n-1}(f_{\omega}, \mathbb{Z})$ . Hence to prove (iii) it is sufficient to show that s = 1 in (5.22).

*Proof that* s=1. We shall apply Corollary 3.1 with  $a=\omega$  and  $r_a=r$  therein. Corollary 3.1 implies that for suitable integers  $n_1, \dots, n_\tau$ 

$$(5.23) v^{n-1} \sim \sum_{i=1}^{r} n_i \kappa_{\omega}^{n-1,i} (\text{on } f_{\omega} \text{ mod } f_{\omega}^-) .$$

From (5.23), (5.22) and (5.21) we infer that

$$(5.24) \qquad \qquad \sum_{i=1}^{\nu} \rho_i \kappa_{\omega}^{n-1,i} \sim s \sum_{n=1}^{\tau} n_i \kappa_{\omega}^{n-1,i} \qquad (\text{on } f_{\omega}, \text{ mod } f_{\omega}^-) .$$

Since  $\kappa_{\omega}^{n-1,1}, \dots, \kappa_{\omega}^{n-1,r}$  is a prebase of  $H_{n-1}(f_{\omega}, f_{\omega}^{-}, \mathbb{Z})$  by Corollary 3.1, (5.24) is possible only if for  $i=1,\dots,r$  the coefficients of  $\kappa_{\omega}^{n-1,i}$  are the same in the two members of the homology (5.24). In particular, s must be 1.

Thus (iii) is true and hence (ii). Theorem 5.1 follows from (i) and (ii).

## 6. Proof of Theorem 1.0

Our proof of Theorem 1.0 without use of a triangulation of  $|M_n|$  depends on

the concept of the "free index" s of an element w in an arbitrary finitely generated abelian group A. The group A is a direct sum

$$(6.1) A = \mathscr{B} \oplus \mathscr{T}$$

of its torsion group  $\mathcal{F}$  and a free subgroup  $\mathcal{B}$  "complementary" to  $\mathcal{F}$  in A. The following definition is given in § 3 of [8].

**Definition 6.1.** The free index s of  $w \in A$ . If  $w \in \mathcal{T}$  the free index of w shall be 0. If  $w \notin \mathcal{T}$  the free index of w shall be the integer s affirmed to exist in Lemma 5.2.

We shall apply this definition. To that end let  $p_a$  be a critical point of f unique among critical points of f at the f-level a. Suppose that the index k of  $p_a$  is positive. Let  $\kappa_a^k$  be a universal k-cap at  $p_a$ , and let  $w_a^{k-1}$  be the homology class of  $\partial \kappa_a^k$  on  $f_a$ . We note that  $w_a^{k-1} \in H_{k-1}(f_a, \mathbb{Z})$ . If  $\kappa_a^k$  is replaced by any other universal k-cap of  $p_a$ , the homology class of  $\partial \kappa_a^k$  on  $f_a$  remains unchanged or is multiplied by -1. (See Theorem 2.3 of [5].) Set

(6.2) 
$$A = H_{k-1}(f_a, \mathbf{Z}) .$$

**Definition 6.2.** The free index  $s^a$  of  $p_a$ . Under the conditions of the preceding paragraph the free index  $s^a$  of the critical point  $p_a$  is taken as the free index of  $\pm w_a^{k-1}$ .

The value of  $s^a$  is independent of the choice of  $\kappa_a^k$  as a universal k-cap of  $p_a$  since the free index of  $w_a^{k-1}$  equals the free index of  $-w_a^{k-1}$ . (See definition of  $s^a$  in § 4 of [5].)

The critical point  $p_a$  of Definition 6.2 is of "linking" or "nonlinking" type over the field Q of rational numbers in the sense of Definition 29.1 [7]. We shall verify the following lemma.

**Lemma 6.1.** The critical point  $p_a$  of Definition 6.2 is of linking or non-linking type over Q according as the free index  $s^a$  of  $p_a$  is zero or positive.

In terms of the connectivities  $R_q(f_a)$  and  $R_q(\dot{f}_a)$  over Q, set

(6.3) 
$$\Delta R_q = R_q(f_a) - R_q(f_a) \qquad (q = 0, 1, \cdots) .$$

According to Theorem 29.2 of [7], when  $p_a$  has the index k,  $\Delta R_k = 1$  or  $\Delta R_{k-1} = -1$  according as  $p_a$  is of linking or nonlinking type over Q. In terms of Betti numbers  $\beta_q(f_a)$  and  $\beta_q(\dot{f}_a)$  set

$$\Delta \beta_q = \beta_q(f_a) - \beta_q(\dot{f}_a) \qquad (q = 0, 1, \cdots).$$

It is well-known that Betti numbers and connectivities over Q, indexed by the same dimension, are equal when finite. Thus  $\Delta \beta_q = \Delta R_q$ . According to Theorem 7.2 of [5],  $\Delta \beta_k = 1$  or  $\Delta \beta_{k-1} = -1$  according as the free index  $s^a$  of  $p_a$  is zero positive.

Lemma 6.1 follows.

*Proof of Theorem* 1.0. The proof of this theorem will be based on Proposition 7.1 of [5]. We state Proposition 7.1 as follows.

**Theorem 6.1.** Let  $p_a$  be a critical point of positive index k, with  $p_a$  unique among critical points at the f-level a. When  $\dot{H}_{k-1}^a$  is torsion-free,  $H_{k-1}^a$  is torsion-free unless  $s^a > 1$ . If  $s^a > 1$ ,  $H_{k-1}^a$  has a unique torsion coefficient  $s^a$ .

Theorem 1.0 breaks down into two theorems, Theorem 1.0a and Theorem 1.0b. Recall that  $f_M = |M_n|$ .

**Theorem 1.0a.** The torsion group  $\mathcal{T}_{n-1}(f_M)$  is trivial if  $M_n$  is geometrically orientable.

**Theorem 1.0b.** The torsion group  $\mathcal{F}_{n-1}(f_M)$  has the order 2 if  $M_n$  is geometrically nonorientable.

Proof of Theorem 1.0a. We shall apply Lemma 4.4 with a = M therein. The critical points of f other than  $p_M$  have indices at most n-1. It follows from Lemma 4.4 that  $H_{n-1}(\dot{f}_M, \mathbf{Z})$  is torsion-free. By hypotheses of Theorem 1.0a,  $M_n$  is geometrically orientable so that  $p_M$  is of linking type over  $\mathbf{Q}$  by Corollary 4.1. Hence the free index  $s^M = 0$  by Lemma 6.1. It follows from Theorem 6.1 with a = M that  $H_{n-1}(f_M, \mathbf{Z})$  is torsion-free.

Thus Theorem 1.0a is true.

Proof of Theorem 1.0b. We have just seen that  $\mathcal{F}_{n-1}(\dot{f}_M)$  is trivial. According to Theorem 6.1, to prove Theorem 1.0b it then suffices to verify that the free index  $s^M$  of the critical point  $p_M$  is 2. That this is the case is an immediate consequence of Theorem 5.1 and the definition of  $s^M$ . One has merely to recall that the (n-1)-cycle  $y^{n-1}_{\beta}$  is defined by (4.2) to infer from Theorem 5.1 that  $s^M = 2$ .

That Theorem 1.0b is true follows now from Theorem 6.1 with k = n.

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